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## SPECIFICATION

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### **REMOTE GAMING USING CELL PHONES WITH LOCATION AND IDENTITY RESTRICTIONS**

#### Related Applications

- 10 This application claims priority from provisional application 60/426,570 filed on November 14, 2002.

## BACKGROUND

15 1. Field Of The Invention

This invention pertains generally to games of chance played using remote location technologies. More particularly, the present invention allows a player to participate in remote bet placement, while simultaneously providing location information on the bettor so that the casino or other game provider can enforce wagering only from places (jurisdictions) where it is legal.

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## 2. The Prior Art

Legal game play varies widely from state to state, from the most liberal  
5 gaming laws and games found in Nevada casinos to the virtually non-existent  
gaming (except, of course, for the state itself!) found in a state like Massachusetts.  
Until recently a person's ability to play games was limited to being physically  
present at the game's location. This precluded any need to verify the location of  
the person: if the game was in a legal jurisdiction, so was the person. Further,  
10 once a person was age-verified at a gaming establishment entrance no further age-  
related checks were needed.

All this has changed with advent of remote location gaming, primarily  
enabled by the internet. Any person having access to a home computer can  
15 (legally or not) participate in gambling using readily available, mostly off-shore,  
gambling sites. Because of the legal liability associated with enabling a person  
from an intra-US jurisdiction where gambling is illegal to gamble in a jurisdiction  
where it is legal, honest gaming operators in the US have been largely precluded  
from this market.

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There is a need to provide gaming operators within the US with a way to enable the use of remote gaming by providing the needed legal assurance of physical locality and adequate age verification of the players.

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BRIEF DESCRIPTION OF THE INVENTION

The present invention provides for a system and method allowing remote (off-site, not taking place in person in a casino, at a convenience store counter, etc.) betting using a cell phone. The system and method requires the use of an E-  
10 911 compliant cell phone (ref: provisional application 60/426,570 filed on November 14, 2002). E-911 compliant cell phones are enabled for positional placement within the parameters explained in provisional application 60/426,570, generally within several hundred yards. Upon receipt of a call from an E-911 cell phone, the caller then makes use of an initial identifier. This initial identifier can  
15 be a PIN or a credit card number (or debit card or similar bank-issued card), or other reasonably unique identifier.

The system receives the phone call data (location data and initial identifier), and makes a determination of the applicable laws regarding the purchase of lottery  
20 tickets or placing bets at a casino (or virtual casino) accordingly. For example if the caller is calling from a Nevada location, the only applicable restriction is the

caller's age. If calling from a Massachusetts location, the restrictions will both age-related and be limited to the purchase of lottery tickets.

5 The system uses available telephony equipment and software for the traditional portion of the system: associating the incoming voice and other data (numeric data, encoded biometric data, encoded caller program input data) with a single phone call, also called a phone session. The data and associated internal information may be kept in a database or other means, such as session-based saved state in a complex call-session state machine implemented in software.

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The system further comprises additional software that uses the location and age data to determine applicable gaming or lottery restrictions (in some jurisdictions, this will be applicable to betting on live events such as horse racing, dog racing, etc.). In one preferred embodiment, there will be a look-up tree or  
15 table which maps each state (plus the District of Columbia) and a cut-off age (typically either 18 or 21) to an allowed betting configuration. For example, a call originating from within Massachusetts where the caller provided an initial identifier that maps to an age of "greater than or equal to 21" would allow the purchase of lottery tickets only.

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In addition, the present invention provides for on-going checks of the caller's ID by using a second identifier, that second identifier being a biometric

identifier. After an initial transaction or age-check using the initial identifier, the caller uses a cell-phone feature (explained more fully below) to send a biometric identifier to the system. At the start of each transaction (may also be immediately at the end of each transaction, or alternatively may be requested at random intervals by the system), this second identifier is re-sent and checking against that initially received. They must match for the session to continue.

### Brief Description Of The Drawings

10 Figure 1 shows levels of cell phone functionality usable with the present invention.

Figure 2 is a block diagram of a cell phone based system according to the present invention.

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Figure 3 is a list of cell phone function levels.

Figure 4 is a block diagram of a cell phone based lottery system according to the present invention.

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Figure 5 is a block diagram of a cell phone based gaming system according to the present invention.

Figure 6 is a flow diagram of lottery system according to the present invention.

Figure 7 is a flow diagram of gaming system according to the present invention.

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### DESCRIPTION OF THE INVENTION

Persons of skill in the art will realize that the following description of the present invention is for illustrative purposes, and is not limiting. Other

10   embodiments of the invention will readily suggest themselves to persons having skill in the art and having the benefit of this disclosure.

Referring to the drawings, for illustrative purposes the present invention is shown embodied in Figures 1 through 7. It will be appreciated that the apparatus  
15   may vary as to configuration and as to various details and functionality of the parts without departing from the inventive concepts disclosed herein. Methods may vary as to details, partitioning, repetition, step inclusion, and the order of the acts, without departing from the inventive concepts disclosed herein.

20       The present invention provides a system and method for using cell phones to play lottery and casino-style games remotely. It provides for both location and

age verification which enables game operators in the U.S., subject to U.S. laws, to lawfully allow remote gaming.

Figure 1 lists cell phone capabilities. To be usable with the present invention, the cell phone must be E-911 compliant. E-911 compliant phones are enabled to report the phone's location within a specified number of yards (see the reference article filed with provisional application 60/426,570 filed on November 14, 2002, incorporated herein in its entirety by explicit reference). This information will be used by the system of the present invention to identify the legal jurisdiction from which the call is being made, which then enables the system to determine the applicable gaming laws in the caller's location.

The system of the present invention, through software programming, will be enabled to handle questionable or gray areas. An example of a potentially gray area would be locations too close to a jurisdictional boundary to make a definitive jurisdictional determination. The program will make a decision based on all available and relevant information. Other relevant information includes the competing or next nearest jurisdiction, which could be used to determine the most restrictive gaming laws possibly applicable to the caller. Other factors may include the type of locator technology being used and its known error margin, etc. All such information will be used to make a best reasonable jurisdictional determination.

Continuing with figure 1, all cell phones usable with the present invention will need to be E-911 compliant for locating purposes (calls from non E-911 compliant phones will be politely terminated). However, the level of functionality other than E-911 compliance may vary. Basic cell phones will need to enable text messaging, called functional level 1 phones. This allows non-voice numerical and textual communications between the phone and the gaming system, which is needed to enter and confirm numerical input. Level 2 phones are enabled to run downloadable software programs, which enables entertaining game play in conjunction with the gaming site. Level 3 phones have built-in digital cameras. This enables the use of facial recognition for biometrics. Finally, level 4 phones (which may overlap with level 1, level 2, or level 3 phones) have dedicated non-volatile RAM available for gaming (including lottery) applications. This enables software to store game results (including lottery numbers played, lottery results, game play credits, and other game play state) in non-volatile RAM. By using non-volatile RAM, the phone can be used to not only purchase lottery tickets and play games, but also as the player's data recorder for winnings, interim results, etc. For example, a player could purchase a number of lottery tickets for a lottery to be drawn in several day's time. In addition to the ticket numbers, an ID associated with the lottery drawing (perhaps made up of the drawing date) will also be stored. In one embodiment, the player then calls into the lottery and downloads the winning numbers for that lottery. The downloaded numbers are compared to the



numbers stored in non-volatile RAM and the player notified if there is a match. In a preferred embodiment, the lottery system's telephonic interface will have the player's cell phone number (as part of the ticket purchase); after the drawing, the lottery system will call the player's cell and when the player answers will

5 download the winning numbers to the phone. The phone (the software program running on the phone's hardware) will then compare the downloaded numbers and compare with the stored numbers. Any winners are brought to the player's attention. Losers are purged, to save memory space. Alternately, the player may manually purge stored numbers using a menu-driven interface.

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Figure 2 is a block diagram of a lottery sales or gaming system using a cell phone in accordance with the present invention. Player 200 has a cell phone, sending radio signals indicated by the plurality of arrows near player 200's ear. The cell phone signals are received by cell phone infrastructure 202, and

15 depending on the location technology used, GPS data 206 may also be used. Cell phone infrastructure 202 enables a phone call to be placed to casino 204 (this may be a virtual or actual casino). Inside casino 204 the incoming call is connected to a receiver/processor 208. Receiver 208 is operably connected to a LAN inside the casino (or virtual casino), being able to communicate with database machine 210

20 and individual gaming units (servers) 212a through 212x. Depending on the size of the installation, the entities shown as separate computers on a LAN may be larger or fewer in number, scaled to the services to be provided.

Database machine 210 carries the data needed by the software running on receiver 208 to make decisions about a caller's location (what gaming may be done, if any, in the caller's jurisdiction). Note that the plurality of gaming servers 212a – 212x can be used to provide games allowed in different jurisdictions, such as full Nevada-style games (Class III games), lottery and lottery-style games (central-determination based games), bingo-based games (Class II games), or any other classification allowed by U.S. jurisdictions (such as the Texas hybrid games). Once a caller's location has been determined using the location/jurisdiction data in database 210 (including the determination of which games, lotteries, or events to allow or not allow the caller to place bets on), some check on the caller's age may be made. The first check can be for the phones user to input a PIN, which is checked against the same database record for the incoming call (the phone number of the caller), its current location, and now a PIN input by the caller. If the caller does not have a PIN, the system will ask the user (using text messaging or an automated voice response system, such as is now common with voicemail systems and call-in help desk systems) to set one up. The caller will chose a PIN that meets the requirements of the system (for example, at least 4 digits without more than 2 repeated numbers).

Further identification will be optional, depending on the requirements of the lottery or gaming system to which it is being attached. For example, if there is

a requirement that the caller/player be 18 or older, the system may then require a credit card or driver's license ID number, which can be checked using any number of currently available commercial databases. Each implementation will need to make a determination of what commercially available (or locally  
5 developed) identity-related databases will be used, and therefore what information will be requested from the caller who is initializing an account.

Receiver 208 is further enabled to make use of any biometric data available from the caller's cell phone. Voice is always available, and will be the basic  
10 biometric. As is known in the biometric arts voice printing is inexact, where many people will tend to be mapped to a target voice pattern. Thus, voice recognition is not a good choice for primary identification; however it is very useful as a secondary identifier. In the case of the system of the present invention, receiver 208 will ask caller 200 for a PIN. The PIN is used with the callers' phone number  
15 in database 210 to identify a player. After initial identification, and assuming some game play will occur, receiver 208 will instruct player 200 to say a specific phrase into their phone. Upon each game play occurrence, player 200 will need to repeat the phrase to confirm the player initially identified as the caller is still the person who is placing bets (or carrying out other game play).

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This is what is meant by a secondary identifier; in this case it will also be a biometric identifier. Once a player is identified by other means, a voice check will

reasonably confirm the same player is continuing to play. For the relatively short time period involved in a single game play session, a player's voice will remain fairly constant; any noticeable change in voice tones will indicate another person is trying to "piggyback" game play using the initial player's identification. Upon  
5 termination of the session, the voice pattern is erased; it will be regenerated by the player at the start of each game session. This keeps storage under control, as well as obviating the need to account for a person's normal voice variations, including having a cold, allergies, normal stress variations, etc. Figure 3 lists the currently enabled secondary biometric identifiers, including voice (the default), facial  
10 recognition (for level 3 cell phones), and fingerprints (needs a plug-in module).

Figure 4 is a block diagram of a lottery ticket sales system according to the present invention. Person 400 uses their cell phone, connecting through cell phone infrastructure 402 to lottery system telephony interface 406. It is expected that in  
15 the future, the lottery system, run from lottery server 410, will have both traditional lottery terminals 412 as well as a telephony interface 406. In the near-term, it is expected that telephony interface 406 will be a separate system, enabled to interface to the lottery system as a terminal. Regulatory issues will be addressed as needed (may prevent a direct electronic connection in some  
20 jurisdictions, requiring the use of an intermediate terminal/system such as 406). In addition to telephony interface 406, there will be a billing interface 404 and optionally an ID database 408. Note that these are shown as connected to

telephony interface 406, and not to the lottery system. In the future, as the system becomes more integrated, these functions may be incorporated into the lottery system itself. It is expected that in the near-term, these functions will be carried out separately from the typical lottery system; once a person's age or other criteria  
5 is ascertained and ability to be billed is confirmed, then the cell phone lottery interface system (including the optional ID database and billing system) will electronically purchase lottery tickets from the lottery system.

In addition to the functions just mentioned, in one preferred embodiment  
10 the ID database 408 will keep, in addition to a player's PIN, the player's cell phone number, mailing address, payment method (credit card, debit card, direct checking account withdrawal, an adder to the caller's phone bill either directly or through a 900 service, etc.), and a record of lottery ticket purchases (lottery number, game entered, ticket purchase time, etc.) which will be purged at the end  
15 of each lottery drawing of non-winning numbers. The database will receive the results of lottery drawings from the lottery system electronically, and will use the database to inform each participant (at their choice) of both winning numbers and if they in particular have any winning tickets.

20 Figure 5 shows a remote casino gaming system according to the present invention. It shares many primary components of the lottery system shown in figure 4, including cell phone player/user 500 calling an on-line casino via cell

phone infrastructure 502 to casino telephony interface 510. There is a billing interface 506 and a player/user database 504. The casino itself may be a virtual casino or a combination physical casino and virtual casino. In one embodiment, there will be a gaming server 512 which interfaces to both player terminals 514 or  
5 virtual game servers 516 (516 points to the fact that any player terminal connected to server 512 may alternatively be a specific game server). Database 504 uses the E-911 location system to determine a current physical location of the caller, maps the location to a jurisdiction, and then to that jurisdiction's gambling laws. Server 512 enables connections to game servers 516 that play games that are  
10 allowed by the laws of the jurisdiction in which the caller is located.

Casino game play is enabled by a level 2 cell phone, which allows display software to be downloaded to the phone to show the results of the game play in a realistic manner. For security reasons, one preferred embodiment will have all  
15 game play results be determined at the central server sites; the results are then sent and displayed on the player's cell phone. If security layers become computationally more efficient in cell phones through dedicated hardware support, a future preferred embodiment may allow locally derived results.

20 In addition to the data kept on database 506 as for the lottery system, in one preferred embodiment database 506 will also be an EFT account for casino players. A player will transfer money into the account via a credit/debit card or

other means, and then will maintain an on-going balance as games are played. This is greatly preferred over attempting to constantly make credits and withdrawals to a credit card or checking account.

5           Figure 6 shows a method of player use of a cell phone lottery system according to the present invention. Stating at box 600, a cell phone user places a call to a lottery system. Upon being connected to the called phone number, several things happen. Continuing into box 602, a billing mode is established. Sometimes this is obvious, as well the call is made through a 900 number. If the  
10   call is made through a non-automated-billing number, then another payment mode must be enabled. This will typically be either credit/debit cards or direct withdrawal from a checking account. Continuing into box 604, a player's age and/or location is established. For lottery systems, the location component is expected to be easier than for on-line gaming due to the large number of state-  
15   wide and multi-state jurisdictions that allow lotteries. Further, if the caller uses a credit or debit card, not issued to people under 18, the age verification is far more straightforward than is the case with on-line casino-style gaming, which may require more rigorous age verification and further be able to distinguish between 18-, 19-, 20- and 21-year olds (rather than simply 18 and over), depending on the  
20   jurisdictions involved. Also note that in one preferred embodiment, transactions can be capped to a number of ticket purchases or dollar total amount per time

period, chosen by the player or as mandated by the local jurisdiction, which can be enforced using the data in the database.

Continuing on with box 606, the caller interacts with the lottery system  
5 using text messaging and/or downloaded menus (preferable when using a level 2 cell phone), and picks a lottery number or indicates the lottery system is to generate a randomly generated number. Continuing into box 608, the lottery telephony system interfaces with the lottery itself and purchases the requested tickets, then indicates to the caller that the lottery tickets have been purchased  
10 (including what numbers are on the tickets).

Proceeding into box 610, the ticket information is saved in the dedicated non-volatile RAM, if so equipped, for later reference by the lottery menu system downloaded onto the phone. Box 610 is left for box 612. Box 612 corresponds to  
15 the actions taken for the lottery telephony system to receive the winning lottery numbers from the lottery system in some kind of machine-readable format, then transmit the numbers to each cell phone player's phone. This is done using the data in the database for each identified user (there will be users/players who, for their own reasons, do not want to be entered into the player ID database  
20 permanently). Continuing into box 614, if the phone is application enabled, the application ("applet") will compare the lottery numbers previously chosen by the



player with the winning numbers and indicate any winning ticket, as well as indicating there are no winners.

Finally, the stored numbers are either auto-purged (by the application) or  
5 manually purged by the cell phone user, completing the lottery game use cycle for this drawing. Note that there well may be overlapping lottery entries; that is, a player may purchase lottery tickets for more than one lottery drawing at a time. If the cell phone is a level 2 phone with level 4 memory, then the multiple drawings can readily be managed by the application software. If the phone does not have  
10 dedicated memory, then in one preferred embodiment the purchased tickets are kept on the lottery telephony database, along with player ID data. Applications programs running on the same computer as the database can then check for winning entries, and indicate to the player when they next call in that they purchased a winning ticket.

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Figure 7 illustrates a method of playing casino-style games remotely using a cell phone. In many ways it is similar to the use of cell phones used to purchase lottery tickets, while requiring higher granularity in age determination, jurisdictional boundaries, and a determination of what types of games are allowed  
20 in a particular jurisdiction (Nevada-style games, central determination class III games, class II games such as bingo, or other). Starting at box 700, a player calls a gaming system on her or his cell phone. After box 700, several actions are taken

which determine payment methods, location determination, age determination, allowed games, and any other determinations needed before a gaming system can enable game play of allowed games in the caller's jurisdiction. These actions correspond to boxes 702 – 704. The actions corresponding to boxes 702 and 704

5 include establishing a calling player's ID in a player's information database, typically using the calling number ("caller ID") and a PIN entered by the player after the system answers the call. This will identify a specific user or player account. The location of the player is queried from the phone, and the information used to determine the players preferred billing venue (phone bill adder,

10 credit/debit card, player EFT account, etc.) and games that can be played. The next set of actions, corresponding to box 706, are to establish a biometric for use with the present gaming session. The default biometric, usable with all cell phones, is a voice biometric. For level 3 cell phones, facial recognition may be used instead. If there is a finger print reader plug-in module or, in the future, a

15 print reader on the phone, a fingerprint can be used. In any case, data is taken from for the biometric to be used and is stored (for this session) in the player's database entry, along with the player's other information.

Continuing to the actions corresponding to box 708, the cell phone is

20 enabled to play the games allowed in the jurisdiction from which the call is being made. This may be done by downloading specific game software real-time, or, enabling game play at the virtual casino end only on allowed games and signaling

the cell phone which game or games can be played (i.e., a bit string turning certain games on or off). The enabled games are now playable by the cell phone user, corresponding to the actions in box 710. For an enabled game the user interacts with the a game server, playing the game until the plyer wishes to stop. During

5 game play, the actions corresponding to box 712 are repeated as often as necessary. These actions are for the player to enter, as required by the biometric, a biometric read for each bet made. This provides on-going assurance that the bets are being made by the same person that logged into the system on their cell phone. In the case of a voice pattern, the person will speak the same phrase into the phone

10 to bet; for a fingerprint or facial recognition biometric, the player will press a fingerprint reader or click a facial picture. The data is compared to that on-file for this session, and if found within range allows this bet to be made (preferably logging the ID check as well as the bet details). The player continues to play until they are finished, and signal the game session is over (box 714). This includes,

15 but is not limited to, loss of signal or a hang-up signal.

From the system's perspective, the game session is not quite over, even though game play is (and the player has disconnected). The player's accounts are updated (credited or billed, or if a local player account, simply tallied) in accordance with

20 the player's choice of billing types. This signals the end of the session, corresponding to box 718.